Conflict of Interest (COI) Form-Part A(1) for co-PIs and Other Participants

Under Meredith College policy, the principal investigator (PI) and all others who have responsibility for the design, conduct or reporting of research or has an interest in the results of an externally-funded project, must disclose **financial interests** in any external entity that is related to the work to be conducted under the proposed project. **Providing this information is mandatory.** Any individual who voluntarily discloses financial interests related to extramurally supported research projects should also use this form.

Financial Interest(s): Financial interests include but are not limited to:

- Income including salary, consulting payments, honoraria, reimbursement of expenses, royalty payments, dividends, loans from an entity, or any other payments or consideration with value, including payments made to a health sciences compensation plan, during the prior twelve months or anticipated in the next twelve months;
- Equity in the form of stock, stock options, warrants, business or commercial real estate, business or commercial loans to or from an entity, or any other investment or ownership interest:
- A management position, whether paid or unpaid, such as board member, director, officer, partner, advisor, or trustee;
- Ownership or other interest in an entity that is proposed as a subcontractor, consortium member, lessor or otherwise involved in the project;
- Intellectual property interest on a patent, patent application, or copyright assigned or licensed to a party.

Reporting is for the individual, his/her spouse and dependent children.

Specifically excluded from the definitions of financial interest are:

- Payments made by Meredith College, including salary, stipends, honoraria, royalty payments, reimbursement of expenses, or any other remuneration from the College;
- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities;
- Income from service on advisory committees or review panels or from editorial activities for public or nonprofit entities;
- Interest in mutual funds where the individual has no control over the selection of holdings.

**Disclosure Procedures:**

1. All investigators must disclose their significant financial interests utilizing this form and attaching all required supporting documentation. The completed form must be submitted with the proposal and Grant Routing Form to the Office of Sponsored Programs.
2. In accordance with Federal regulations, **a complete disclosure must be made by investigator(s) prior to submission of a proposal.**
3. All conflicts of interests must be resolved prior to expenditure of any funds. This may require written documentation for the plans to resolve conflicts of interest.
4. Supporting documentation (**Disclosure Form Part B**) should be submitted in a sealed envelope marked confidential.
Conflict of Interest Form - Part A(1)

Co-Principal Investigator (Co-PI) or Other Investigator:
Dept:
Funding Source:
Title of Research Project:

Reason for Disclosure:  [ ] New Proposal  [ ] New Protocol  [ ] New Investigator
[ ] Revised Grant  [ ] Additional Support

By signature below, the individual certifies that either no financial interest exists or that a complete listing of all financial interest is provided on a Disclosure Supplement Form. The individual named below further acknowledges his/her responsibility to disclose any new financial interest obtained during the term of the award.

1. A. Do you, your spouse, or dependent children have a Financial Interest in an external entity related to the work to be conducted under the project or interest in the results of the project? (See page 1 for definitions of Financial Interests) Check response below adjacent to your signature.
   
   A. [ ] NO  [ ] YES, Supplement Form attached________________________
   
   Print or type Name of Investigator _______ ____________________________
   
   Signature (Investigator) Date
   
   B. If the project is funded, to the best of your knowledge; does any Meredith College employee have a financial interest, including an ownership or equity interest, in the sponsor? Check response below adjacent to your signature.
   
   B. [ ] NO  [ ] YES, Name ____________________________
   
   Signature (Investigator) Date
   
   Print or type Name of Principal Investigator _______ ____________________________

   C. Project is Funded: [ ] NO  [ ] YES

   D. I have read the Conflict of Interest (COI) Policy, the Responsible Conduct of Research (RCR) Policy, completed training on RCR each year, Date: __________, and completed training as described in the COI Policy each year, Date: _______.  [ ] NO  [ ] YES

Meredith College’s Conflict of Interest Policy and Form are implemented in compliance with (a) the regulations of the US Department of Health and Human Services (recorded at 42 CFR Part 50 and subpart F) and (b) the regulations of the National Science Foundation (recorded at 60 FR 132, pp 35820-35823). Form modeled on VCU Disclosure Form and Elon College COI Procedures.